



CLIENT MANDATE FORM

SECTION 1: ACCOUNT HOLDER DETAILS

INDIVIDUAL

Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Prof <input type="checkbox"/> Dr <input type="checkbox"/>	Other	<input type="text"/>
First Names	<input type="text"/>	Gender	Male <input type="checkbox"/> Female <input type="checkbox"/>
Surname	<input type="text"/>	Date of birth	<input type="text"/>
ID Number	<input type="text"/>	Mobile Number	<input type="text"/>
E-mail	<input type="text"/>	Country Of Birth	<input type="text"/>
Telephone(Work):	<input type="text"/>	Telephone(Home):	<input type="text"/>
Marital Status	<input type="text"/>		
Residential Address	<input type="text"/>		
Postal Address	<input type="text"/>		

JOINT APPLICANT

Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Prof <input type="checkbox"/> Dr <input type="checkbox"/>	Other	<input type="text"/>
First Names	<input type="text"/>	Gender	Male <input type="checkbox"/> Female <input type="checkbox"/>
Surname	<input type="text"/>	Date of birth	<input type="text"/>
ID Number	<input type="text"/>	Mobile Number	<input type="text"/>
E-mail	<input type="text"/>	Country Of Birth	<input type="text"/>
Telephone(Work):	<input type="text"/>	Telephone(Home):	<input type="text"/>
Marital Status	<input type="text"/>		
Residential Address	<input type="text"/>		
Postal Address	<input type="text"/>		

ALTERNATE CONTACT

Title	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Prof <input type="checkbox"/> Dr <input type="checkbox"/>	Other	<input type="text"/>
First Names	<input type="text"/>	Gender	Male <input type="checkbox"/> Female <input type="checkbox"/>
Surname	<input type="text"/>	Date of birth	<input type="text"/>
ID Number	<input type="text"/>	Mobile Number	<input type="text"/>
E-mail	<input type="text"/>	Country Of Birth	<input type="text"/>
Telephone(Work):	<input type="text"/>	Telephone(Home):	<input type="text"/>
Marital Status	<input type="text"/>		
Residential Address	<input type="text"/>		
Postal Address	<input type="text"/>		



COMPANY

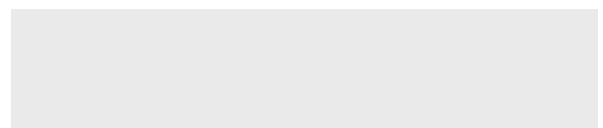
Company Name	<input type="text"/>	Company Registration Number	<input type="text"/>
Nature of Business	<input type="text"/>		
Company Physical Address	<input type="text"/>		
Company Postal address	<input type="text"/>		
Company Postal address	<input type="text"/>		

ULTIMATE BENEFICIARY DETAILS (Natural persons with a 10% or more stake)

SHAREHOLDER NAME 1: % SHARES HELD EMAIL:	SHAREHOLDER NAME 2: % SHARES HELD EMAIL:
SHAREHOLDER NAME 3: % SHARES HELD EMAIL:	SHAREHOLDER NAME 4: % SHARES HELD EMAIL:

COMPANY AUTHORISED REPRESENTATIVE: ID NUMBER: MOBILE NO:

Residential address of representative :	<input type="text"/>	Email :	<input type="text"/>
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REPS SIGNATURE

CLIENT BANKING DETAILS

Account Name	<input type="text"/>		
Bank:	Account Number:	Source of Funds	
Branch Code:	Branch Name:	BP/Tax Number:	
ARE YOU OR YOUR SPOUSE A DIRECTOR OF ANY ZSE LISTED ENTITY/S? YES <input type="checkbox"/> NO <input type="checkbox"/>			
IF YES, PLEASE STATE THE NAME OF THE ENTITY/S			
I hereby declare that the information provided is true and correct. I also understand that any false statements or deliberate omissions on this form may subject me to legal actions for fraudulent misrepresentation.			
Client Signature _____		Date _____	



IMPORTANT NOTICE

We do not accept cash. All investment funds must be transferred electronically into ABC Stockbrokers' client nominee bank account which details will be availed upon account approval. Purchase orders will be executed subject to availability of funds in ABC Stockbrokers' client nominee bank account. Clients are advised to follow up on all orders.

SECTION 2 DECLARATION

1. I agree that ABC Stockbrokers reserves the right to close my account compulsorily without warning if it is unsatisfactorily conducted.
2. I certify that the funds being transferred are for a genuine economic activity. ABC Stockbrokers reserves the right to accept or decline this payment and shall not be held liable for any action arising to any party because of such transaction.
3. I/ We do hereby agree to open a share trading account with ABC Stockbrokers on my/our own volition. I/We am aware that the stock market is a high risk market and hereby freely assume all such risks, of whatever nature, that are part of and may arise as a result of my/ our share account due to my/ our participation in the stock market through ABC Stockbrokers and hereby release ABC Stockbrokers, its employees, agents, officers and contractors from liabilities, causes of action, claims and demands that may arise thereon, save in respect of liabilities, causes of actions, claims and demands that arise from the negligence or wilful misconduct of ABC Stockbrokers.
4. I/ We further indemnify ABC Stockbrokers, its assignees, successors in title, from any liability or for any losses incurred in my share account from my participation in the stock market, save for where such liability or losses are as a result of the negligence or wilful misconduct of ABC Stockbrokers. I/We hold harmless ABC Stockbrokers to the fullest extent permitted by law, from and against any and all losses, claims, damages, liabilities, obligations, penalties, judgements, awards, costs, expenses, third party claims and disbursements in giving testimony or furnishing documents in response to a subpoena or otherwise, including without limitation, the costs, expenses, and disbursements, as and when incurred, of investigating, preparing, or defending such action, suit, proceeding, or investigation (whether or not in connection with litigation in which I/We and ABC Stockbrokers are party) which have resulted from my/ our fault, wilful misconduct, negligence, fraud and/ or misrepresentation of whatever nature.
5. The indemnities given above are irrevocable without the consent of ABC Stockbrokers and continue in effect even after I/We have terminated the operation of a share trading account with ABC Stockbrokers.
6. I/We, hereby undertake to abide by all the laws, rules, regulations, and company policies relating to my/our trading activities.

Client Signature _____

Date _____

ABC STOCKBROKERS KYC REQUIREMENTS

INDIVIDUALS

- Certified copy of ID/ passport
- Proof of residence no older than three (3) months
- 2 Passport size photos
- Confirmation of bank account for the individual
- Completed and signed mandate form
- Completed and signed Chengetedzai Depository Company (CSD 1a form)
- Completed and signed ZSE Depository (CSD 1 form)

CORPORATES

- Completed and signed Chengetedzai Depository Company (CSD 1b form)
- Completed and signed ZSE Depository (CSD 1 form)
- Certified copy Certificate of Incorporation
- Certified copy Memorandum and Articles of Association
- Certified copy of CR14 (Statutory list of Directors)
- Certified copy of CR6 (Official registered address of entity)
- A resolution on company letterhead stating that the company has agreed to open a trading account with ABC Stockbrokers (Private) Limited.
- The resolution above must provide the name(s) of individuals authorised to issue instructions on the trading account and their national ID numbers.
- Certified copy of positive identification for at least two (2) directors and any persons authorised to issue instruction on the trading account.
- Current proof of residence of the directors and authorised signatories above. This documentation should be no older than 3 months.
- 2 passport sized photos for each Director and/ or authorised persons above.
- Confirmation of bank account for the corporate entity.